

# On the estimation of backscatter coefficients using single-element focused transducers

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The ultimate goal of quantitative ultrasound (QUS) imaging methods based on backscatter coefficient (BSC) estimates is to obtain system-independent structural information about samples. In the current study, three BSC estimation methods were compared and evaluated using the same backscattered pressure datasets in order to assess their consistency. BSC estimates were obtained from two phantoms with embedded glass spheres and compared to theoretical BSCs calculated using size distributions estimated using optical microscopy. Effective scatterer diameter and concentration estimates of the glass spheres were also obtained from the estimated BSCs. One estimation method needed to be compensated by more than an order of magnitude in amplitude in order to produce BSCs comparable to the other two methods. All calibration methods introduced different frequency-dependent effects, which could have noticeable effects on the bias of QUS estimates derived from experimental BSCs. Although in most cases the experimental QUS estimates obtained with all three methods were observed to differ by less than 10%, larger differences are expected depending on both the pressure focusing gain of the transducer (proportional to the ratio of the square of the aperture radius to the product of the wavelength and focal length) and  $ka$  range used in the estimation. © 2011 Acoustical Society of America. [DOI: 10.1121/1.3557036]

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## I. INTRODUCTION

The backscatter coefficient (BSC) is a fundamental quantity that contains information about material microstructure. Extraction of microstructural properties through analysis of BSCs has been extensively studied in the past for tissue characterization applications in biomedical ultrasound.<sup>1–4</sup> The ultimate goal of quantitative ultrasound (QUS) imaging methods based on BSC estimates is to obtain meaningful, system-independent information about the analyzed tissues. Therefore, ideally any instrumentation dependence should be removed when estimating BSCs.

Many practical implementation aspects, however, may have an impact on the estimation of BSCs from tissues. Several transducer geometries have been studied in the past including unfocused<sup>5</sup> and focused<sup>6,7</sup> single-element transducers, as well as array systems.<sup>8,9</sup> Even for a fixed experimental system, several spectrum calibration methods have been proposed resulting in several methodologies for BSC estimation. Two of the most common ways of compensating for system-dependent effects are the substitution<sup>10–12</sup> and reference phantom<sup>13–15</sup> methods. Finally, several methods for compensating attenuation effects have also been proposed.<sup>16,17</sup>

Given the availability of several methods for BSC estimation, it becomes of high importance to evaluate the feasibility of obtaining accurate and consistent BSC estimates. To that effect, inter-laboratory comparisons of ultrasonic BSC estimates have been performed in the past<sup>18,19</sup> but only a limited agreement among BSC estimates obtained at different labora-

tories was observed. The goal of the current study is to evaluate three different BSC estimation methods using the same experimental datasets. This approach allows eliminating experimental discrepancies in order to assess the consistency of these BSC estimation methods and determine under what conditions a particular method may be preferred. The three approaches for obtaining BSC estimates are representative of the methods commonly used in the literature. All measurements were obtained using focused transducers having different center frequencies and focal numbers for the analysis of two well-characterized physical phantoms. Estimated BSCs were compared to the theoretical BSCs obtained given the knowledge of the scatterer distributions in both phantoms.

## II. METHODS

### A. BSC estimation methods

In this section, details of BSC estimation using a planar reflector to compensate for the imaging transducer characteristics will be provided. BSCs are estimated from pulse-echo data corresponding to regions of interest (ROIs) within the sample under study. An acoustic aperture receives pulse-echo pressure waveforms  $s_m(t)$  when located at several positions along the  $Y$  axis, as shown in Fig. 1. The backscattered data is gated between depths  $(F - \Delta z/2)$  and  $(F + \Delta z/2)$  using a rectangular window, where  $F$  is the transducer focal length and  $\Delta z$  is the gate length. BSC estimates from a ROI require the calculation of the normalized power spectrum  $|\bar{S}_0(k)|^2$  defined as

$$|\bar{S}_0(k)|^2 = \frac{\langle |S_m(k)|^2 \rangle}{|S_0(k)|^2} H(k), \quad (1)$$

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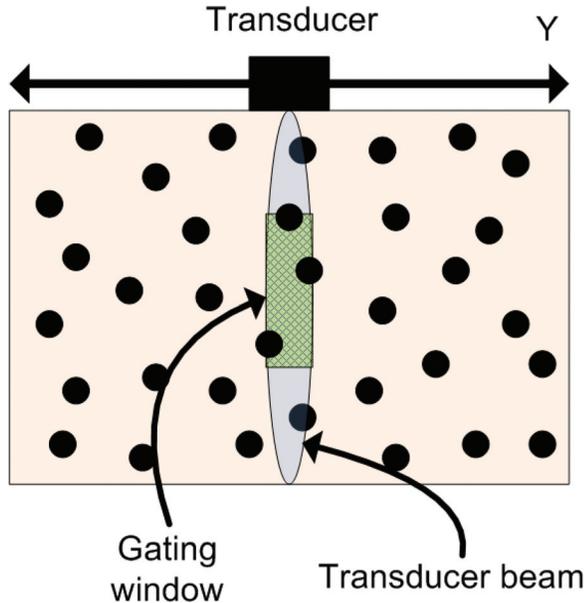


FIG. 1. (Color online) Schematic of the configuration for backscatter measurements from a phantom with randomly positioned scatterers.

where  $\langle |S_m(k)|^2 \rangle$  is the average of the power spectra of several adjacent, gated scan lines  $s_m(t)$ ,  $H(k)$  is a function that compensates for attenuation effects, and  $S_0(k)$  is the Fourier transform of a reference waveform. The normalized power spectrum  $|\bar{S}_0(k)|^2$  can be related to BSCs after properly compensating for the transducer geometry.

Three different estimation methods have been studied in this work and are summarized below for completion. All methods assume  $S_0(k)$  is obtained by measuring the reflection of ultrasound from a planar reflector located at the center of the ROI and perpendicular to the transducer axis. Furthermore, the methods assume that circular, single-element focused transducers are used to perform the measurements with the center of the ROI located at the acoustic focus of the transducer.

### 1. Estimation method 1

The first estimation method used in this study was derived by Insana *et al.*<sup>11</sup> The volumetric integral wave equation derived under the first order Born approximation was used to estimate the power spectrum of both weakly scattering random media (Ref. 11, Secs. II A and II B) and the planar reflector (Ref. 11, Sec. II C). BSC estimates can be estimated using [Ref. 11, Eqs. (34), (35), and (41)]

$$\hat{\eta}_1^{\text{Hann}}(k) = 0.3625 \frac{\gamma^2 F^2}{A_0 \Delta z} |\bar{S}_0(k)|^2, \quad (2)$$

where  $A_0 = \pi R^2$  is the aperture area of the transducer of radius  $R$  and  $\gamma$  is the pressure reflection coefficient of the planar reflector. The expressions in Ref. 11 were derived assuming a Hanning window to gate the radiofrequency data. Different windows can be used by updating the value of  $B_g(0)$  in Ref. 11, Eq. (40) which is equal to  $0.375\Delta z$  and  $\Delta z$  for Hanning and rectangular windows, respectively. Therefore, BSCs can be estimated using a rectangular window as

$$\hat{\eta}_1(k) = \frac{B_g^{\text{Hann}}(0)}{B_g^{\text{Rect}}(0)} \hat{\eta}_1^{\text{Hann}}(k) = 0.375 \hat{\eta}_1^{\text{Hann}}(k). \quad (3)$$

A notation inconsistency in the development of  $\hat{\eta}_1(k)$  can be tracked back to Ref. 11, Sec. II C, where the corresponding equations for spectral calibration were derived. In particular, the source function corresponding to a planar reflector was defined as  $\gamma(r_0) = \gamma' h(z_0 - z_c)$  [Ref. 11, Eq. (32)] with  $h(z_0 - z_c)$  a step function located at the center of the gate and  $\gamma'$  defined to be the planar reflection coefficient by the authors. However, if  $\kappa' = \kappa_0(1 + \delta\kappa)$  and  $\rho' = \rho'(1 + \delta\rho)$  and under the weak scattering assumption (i.e.,  $\delta\kappa, \delta\rho \ll 1$ ) used by the authors

$$\gamma' = \gamma'_\kappa - \gamma'_\rho \approx \delta\kappa - \delta\rho \approx 2 \frac{\Delta Z}{z_0} \approx 4 \frac{Z - Z_0}{Z + Z_0} = 4\gamma, \quad (4)$$

where  $Z_0$  and  $Z = (Z_0 + \Delta Z)$  are the characteristic acoustic impedances of the background and planar reflector, respectively. Therefore,  $\gamma'$  is equal to four times the pressure reflection coefficient  $\gamma$  of the planar reflector and not to  $\gamma$  as stated in Ref. 11. As a result, the expression in Eq. (3) is off by a factor of 16. A corrected version of the BSC estimation method is given by

$$\eta_1(k) = 16\hat{\eta}_1(k) = 2.17D_1(G_p) \frac{\gamma^2 F^2}{A_0 \Delta z} |\bar{S}_0(k)|^2, \quad (5)$$

where  $G_p = (kR^2/2F)$  is the pressure focusing gain of the transducer<sup>20,21</sup> and  $D_1(\cdot) = 1$  has been defined for convenience when comparing the studied BSC estimation methods. It must be stressed that Eq. (5) is not equal to the final expression given in Ref. 11, but rather an updated expression that corrects a magnitude inconsistency in the BSC estimation derivation.

### 2. Estimation method 2

The second estimation method was derived by Chen *et al.*<sup>12</sup> The authors determined the theoretical reference power spectrum using the mirror image method assuming a perfectly reflecting plate.<sup>22</sup> The resulting expression for  $|S_0(k)|^2$  was scaled by the power reflection coefficient of the plate to account for its finite reflectivity. BSCs can be estimated as [Ref. 12, Eqs. (31), (54), and (57)]

$$\eta_2(k) = 2.17D_2(G_p) \frac{\gamma^2 F^2}{A_0 \Delta z} |\bar{S}_0(k)|^2, \quad (6)$$

$$D_2(G_p) = |\exp(-iG_p)[J_0(G_p) + iJ_1(G_p)] - 1|^2,$$

where  $J_m(\cdot)$  is the  $m$ th order Bessel function.

### 3. Estimation method 3

The third estimation method was developed by Ueda and Ozawa.<sup>10</sup> The reference power spectrum was derived in Ref. 10, Sec. VI using the boundary integral wave equation under the first order Born approximation [Ref. 10, Eq. (4)]. An approximate closed form solution for estimating BSCs

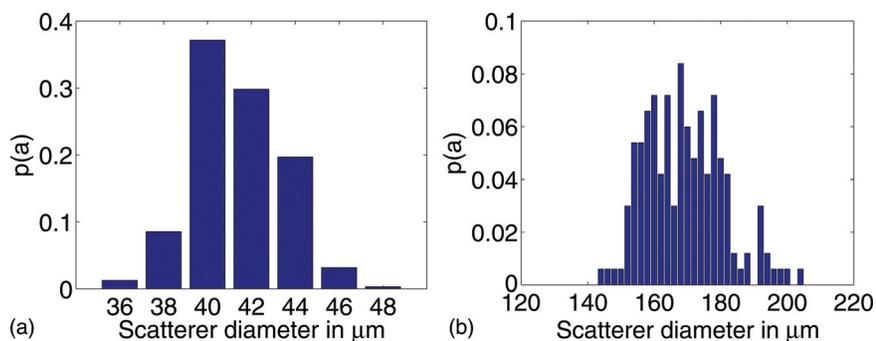


FIG. 2. (Color online) Estimated scatterer size probability distribution functions for the 41  $\mu\text{m}$  (left) and 150–180  $\mu\text{m}$  (right) phantoms.

assuming a Gaussian profile for the transducer radiation pattern was proposed and developed by the authors and is given by [Ref. 10, Eq. (73)]

$$\eta_3^G(k) = 2.17D_3^G(G_p) \frac{\gamma^2 F^2}{A_0 \Delta z} |\bar{S}_0(k)|^2, \quad (7)$$

$$D_3^G(G_p) = 0.92 \frac{(G_p/2)^2}{1 + (G_p/2)^2}. \quad (8)$$

The BSC for a circular focused transducer can be calculated as [Ref. 10, Eq. (74)]

$$\eta_3(k) = \frac{L(kR, kF)}{0.92} \eta_3^G(k) = 2.17D_3(kR, kF) \frac{\gamma^2 F^2}{A_0 \Delta z} \bar{S}(k), \quad (9)$$

where  $L(kR, kF)$  is a frequency-dependent correction factor and  $D_3(kR, kF) = [D_3^G(G_p) \times L(kR, kF)/0.92]$ . The procedure for numerically calculating  $L(kR, kF)$  was outlined in Ref. 10, Sec. VI.

## B. Experimental methods

### 1. Experimental setup

In order to evaluate the reported estimation methods, experimental BSC estimates were obtained from two agar phantoms with glass bead inclusions.<sup>23</sup> The first phantom, labeled “41  $\mu\text{m}$  phantom,” contained 47 glass spheres/ $\text{mm}^3$  ranging in diameter from 36 to 48  $\mu\text{m}$ . The second phantom, labeled “150–180  $\mu\text{m}$  phantom,” contained 20 g/l of glass spheres (approximately 3.2 glass spheres/ $\text{mm}^3$ ) ranging in diameter from 144 to 204  $\mu\text{m}$ . The scatterer size distributions of the glass spheres for both phantoms were estimated from optical microscopy images, and the estimated scatterer size probability distribution functions (PDFs)  $p(a)$ , i.e., the probability that the spherical inclusion radius takes the value  $a$ , for both phantoms are reported in Fig. 2. The corresponding attenuation coefficients were estimated with through-transmission techniques and approximated with a third- and fifth-order polynomial for the 41  $\mu\text{m}$  and 150–180  $\mu\text{m}$  phantoms, respectively. Ultrasound was transmitted into the phantoms through a layer of thin plastic film. The transmission coefficient through the film was compensated using the method outlined in Ref. 19.

It has been previously reported in the literature<sup>24</sup> that using a reference from a planar reflector at a single location

to estimate  $S_0(k)$  may affect the estimated BSCs especially when using tightly focused transducers and long gate lengths. To reduce these effects, in this work the reference spectrum  $S_0(k)$  was estimated by averaging the power spectra obtained at several depths between  $(F - \Delta z/2)$  and  $(F + \Delta z/2)$  from a planar Plexiglas<sup>®</sup> reflector ( $\gamma \approx 0.37$ ).

BSC estimates were obtained using all three estimation methods described in Sec. II A. The phantoms were raster scanned in one plane over a 4 cm by 4 cm area at 2 mm steps for a total of 441 scan locations. Attenuation compensation was performed using Ref. 17, Eq. (16). The properties of the transducers used for the experiments are listed in Table I. For all transducers, the radio frequency data was gated using a gate length  $\Delta z$  of 18 wavelengths at the nominal center frequency of the transducer.

The theoretical BSC corresponding to the experimental phantoms were calculated assuming the analyzed media consisted of randomly located spherical scatterers embedded in an otherwise homogeneous background at a concentration of  $\beta$  scatterers per unit of volume. Neglecting coherent and multiple scattering effects, the theoretical BSC  $\eta(k)_{\text{th}}$  can be expressed as

$$\eta_{\text{th}}(k) = \frac{\beta}{4\pi} \int_0^\infty p(a) \sigma(k, a) da, \quad (10)$$

where  $\sigma(k, a)$  is the backscattering cross-section of an individual scatterer. In this work,  $\sigma(k, a)$  was calculated using the analytic solution of the scattering of a plane wave by a solid sphere.<sup>25,26</sup> The values of density, longitudinal sound speed,

TABLE I. Properties of the transducers used for the experimental BSC measurements. In the first column,  $f_0$  represents nominal center frequency of the transducer.  $G_p$  was calculated using the experimentally estimated focal distance  $F$ .

$f_0$ (MHz)	$f_\#$	Diameter	Analysis bandwidth (MHz)	$G_p$ range
2.25	2.7	0.75 in. (19.05 mm)	[1.2–3.4]	[3.6–9.9]
5	3	0.75 in. (19.05 mm)	[2.3–7.3]	[7.7–24.5]
7.5	4	0.75 in. (19.05 mm)	[3.1–11.5]	[8.0–29.4]
10	4	0.5 in. (12.7 mm)	[5.7–16.1]	[8.9–25.3]
10	2	1 in. (25.4 mm)	[4.3–14.4]	[28.2–95.0]
13	3	0.5 in. (12.7 mm)	[7.0–19.1]	[15.8–43.2]
15	2	0.5 in. (12.7 mm)	[7.1–20.1]	[23.4–66.9]
20	4	0.25 in. (6.35 mm)	[8.6–27.0]	[6.9–21.9]
20	3	0.25 in. (6.35 mm)	[8.9–28.6]	[8.9–28.6]

and Poisson's ratio of the glass spheres were assumed to be equal to 2.38 g/ml, 5.57 mm/ $\mu$ s, and 0.21, respectively.<sup>11</sup>

## 2. Performance assessment

In order to experimentally assess the performance of the different BSC estimation methods, QUS estimates were obtained from the estimated BSCs. Effective scatterer diameter (ESD) estimates were obtained by solving the optimization problem<sup>11,27</sup>

$$\text{ESD} = 2 \arg \min_a \frac{1}{N} \sum_{i=1}^N [X(\eta(k_i), \sigma(k_i, a)) - \bar{X}]^2, \quad (11)$$

$$X(r, s) = 10 \log_{10}(r/s), \quad (12)$$

where  $\bar{X}$  is the mean value of  $X(k, a)$  within the analysis wave number bandwidth  $k_i \in [k_{\min}, k_{\max}]$ . Other methods for ESD estimation such as least mean squares (LMS)<sup>15</sup> have also been studied in the literature. However, no significant difference was observed when using LMS to obtain ESD estimates (results not shown in this manuscript). Once an estimate of the ESD has been obtained, the effective scatterer concentration (ESC) can be estimated as

$$\text{ESC} = 4\pi \frac{\sum_{i=1}^N \eta(k_i) \sigma(k_i, \text{ESD}/2)}{\sum_{i=1}^N \sigma^2(k_i, \text{ESD}/2)}. \quad (13)$$

The fit between the estimated  $\eta(k)$  and theoretical  $\eta_{\text{th}}(k)$  BSCs corresponding to both phantoms was also quantified. For consistency, the fit was quantified using the first- and second-order moments of the error function in Eq. (12), i.e.,

$$\text{Err}_\mu = \frac{1}{N} \sum_{i=1}^N X(\eta(k_i), \eta_{\text{th}}(k_i)), \quad (14)$$

$$\text{Err}_\sigma = \sqrt{\frac{1}{N} \sum_{i=1}^N [X(\eta(k_i), \eta_{\text{th}}(k_i)) - \text{Err}_\mu]^2}. \quad (15)$$

The quantities  $\text{Err}_\mu$  and  $\text{Err}_\sigma$  are measures of the amplitude and frequency dependence agreements, respectively, between the estimated  $\eta(k)$  and theoretical  $\eta_{\text{th}}(k)$  BSCs.

## III. RESULTS

### A. Frequency-dependent effects of the estimation methods

For all three BSC estimation methods, it was independently demonstrated that only the amplitude, and not the frequency dependence, of  $\langle |S_m(k)|^2 \rangle$  depended on the transducer geometry when measurements were conducted near the transducer focus.<sup>10-12</sup> However, the frequency dependence of  $|S_0(k)|^2$  varies depending on which estimation method is used. Further, it follows from Eqs. (5), (6), (7), and (9) that the

studied BSC estimation methods can be related to each other using the relationships

$$\frac{\eta_1(k)}{D_1(G_p)} = \frac{\eta_2(k)}{D_2(G_p)} = \frac{\eta_3^G(k)}{D_3^G(G_p)} = \frac{\eta_3(k)}{D_3(kR, kF)}. \quad (16)$$

All BSC estimation methods were compared by plotting the diffraction correction factors  $D_1(G_p)$ ,  $D_2(G_p)$ ,  $D_3(kR, kF)$ , and  $D_3^G(G_p)$ , which relate the estimated BSCs as given by Eq. (16). Both  $D_2(G_p)$  and  $D_3^G(G_p)$  depend exclusively on the pressure focal gain  $G_p$ . In contrast,  $D_3(kR, kF)$  does not depend on  $G_p$  but rather on  $kR$  and  $kF$  separately. Therefore, nine different  $D_3(kR, kF)$  curves were computed and plotted, each one corresponding to the geometry of all nine transducers listed in Table I. The results are shown in Fig. 3 for  $G_p$  values between  $10^{-1}$  and  $10^3$ .

Observation of Fig. 3 indicates that all nine  $D_3(kR, kF)$  curves were almost perfectly overlapping and virtually indistinguishable from each other, which suggests  $L(kR, kF)$  is mainly dependent on  $G_p$  for moderate to large  $kR$  values. This observation is consistent with numerical evaluations presented by Ueda and Ozawa in Ref. 10, Fig. 5. Further, all  $D_3(G_p)$  curves were in excellent agreement with the curve corresponding to  $D_3^G(G_p)$  for all  $G_p$  values. The curve corresponding to  $D_3^G(G_p)$  had good agreement with  $D_2(G_p)$  and  $D_3(kR, kF)$  for low  $G_p$  values and with  $D_1(G_p)$  for large  $G_p$  values. The curves corresponding to  $D_2(G_p)$ ,  $D_3(kR, kF)$ , and  $D_3^G(G_p)$  agreed with  $G_1(G_p)$  to within 1 dB for  $G_p > 25$ ,  $G_p > 25$ , and  $G_p > 5$ , respectively.

### B. BSC and QUS estimates

A total of 21 experimental BSC estimates per transducer per phantom were obtained using all estimation methods by using backscattered data from 21 independent scan lines per BSC estimate. The average BSCs obtained using the estimation methods from Eqs. (5), (6), and (7) together with the theoretical BSC curves expected from using Eq. (10) are shown in Fig. 4 for both experimental phantoms. The BSCs estimated using Eq. (9) were not plotted because of their high correlation with the BSCs obtained using  $\eta_2$ .

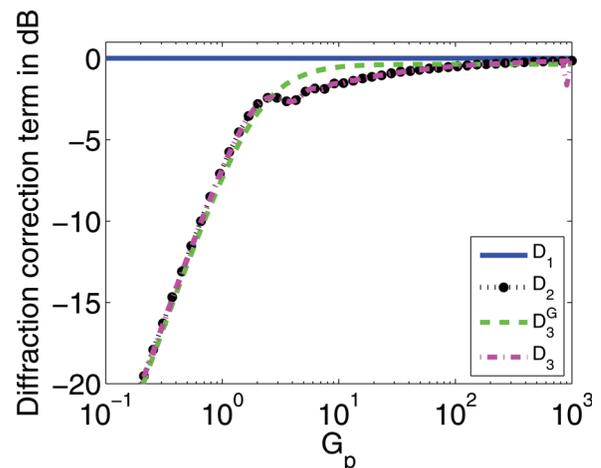


FIG. 3. (Color online) Comparison of the frequency-dependent calibration terms in the denominator of Eq. (16). The curves correspond to  $\eta_1$  (solid line),  $\eta_2$  (dotted line),  $\eta_3^G$  (dashed line),  $\eta_3$  and (nine dash-dotted lines).

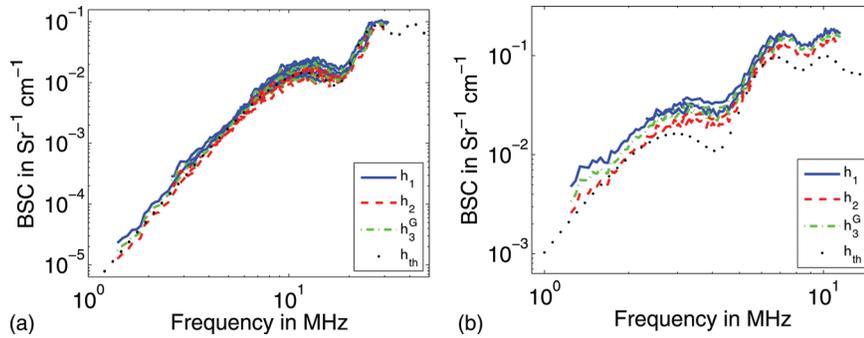


FIG. 4. (Color online) BSC estimates from the 41  $\mu\text{m}$  (left) and 150–180  $\mu\text{m}$  (right) phantoms estimated using methods 1 (solid lines), 2 (dashed lines), and 3 (dash-dotted lines) together with the theoretical BSC derived from the scatterer size PDFs reported in Fig. 2 (dotted lines).

All methods produced BSC estimates that had similar agreement with the theoretical BSCs (also plotted). The errors between the experimentally estimated and theoretical BSCs are reported in Tables II and III for the 41  $\mu\text{m}$  and

150–180  $\mu\text{m}$  phantoms, respectively. As expected from the results in Sec. III A, the  $\text{Err}_\mu$  values corresponding to different methods are close to each other, i.e., less than 2 dB error difference among all estimation methods for a fixed phantom and transducer combination. Further, the difference in  $\text{Err}_\mu$  values for a fixed phantom and transducer combination is reduced when using transducers with higher  $G_p$  values.

Mean and standard deviations of ESD and ESC estimates obtained from all 21 estimated BSCs per transducer are reported in Table IV for the 41  $\mu\text{m}$  phantom and Table V for the 150–180  $\mu\text{m}$  phantom. In general, a larger difference in mean QUS values corresponding to different estimation methods was observed for transducer and phantom combinations with lower  $ka$  values. The most dramatic difference was observed when analyzing the 41  $\mu\text{m}$  phantom with the 2.25, f/2.7 transducer.

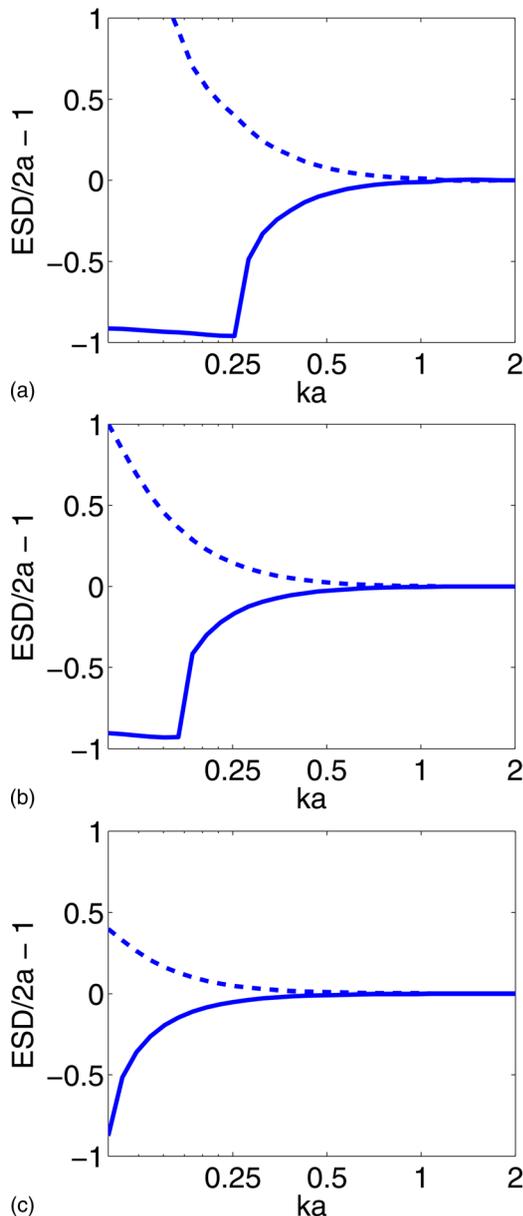


FIG. 5. (Color online) Fractional error ( $\text{ESD}/2a - 1$ ) when estimating ESDs as a function of  $ka$  when the input  $\eta$  to the estimator is set proportional to  $\eta_{\text{th}} \times D_2(G_p)$  (solid lines) and  $\eta_{\text{th}}/D_2(G_p)$  (dashed lines). The  $G_p/ka$  ratio was set to 50 (top), 500 (center), and 5000 (bottom).

#### IV. DISCUSSION

Three representative methods for BSC estimation have been studied in this work. It should be mentioned that other approaches presented in the literature can be related to the methods evaluated here. For example, D’Astous and Foster<sup>28</sup> presented a estimation method based on geometrical considerations that resulted in expressions similar to those provided by Insana *et al.* in Ref. 11. Another study in BSC estimation was presented by Madsen *et al.*<sup>29</sup> Analytic expressions for BSC calculation were provided, but such expressions depended on integrals that required numerical evaluation. The formulation by Madsen *et al.* was shown to be equivalent to  $\eta_2$  by Chen *et al.*<sup>12</sup> The advantage of using the results from Ref. 12 is the availability of explicit expressions for normalizing BSC estimates.

All studied estimation methods have an effect on the magnitude and frequency dependence of the estimated BSCs. Both effects are discussed below.

##### A. BSC amplitude effects

All estimation methods studied in this work can be compared in the large  $G_p$  limit, for which it holds that

$$\begin{aligned} D_2(G_p \rightarrow \infty) &\rightarrow 1, \\ D_3^G(G_p \rightarrow \infty) &\rightarrow 0.92, \\ D_3(kR, kF, G_p \rightarrow \infty) &\rightarrow 1. \end{aligned}$$

The first limit can be derived by using the approximate expression  $D_2(k) \rightarrow \exp[-(2/\pi)(G_p/\pi)^{-1/2}]$  valid for large  $G_p$

TABLE II. Experimental BSC estimation errors when analyzing the 41  $\mu\text{m}$  phantom.

Transducer	Err $_{\sigma}$				Err $_{\mu}$			
	$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$	$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$
2.25 MHz, f/2.7	1.34 $\pm$ 0.16	-0.51 $\pm$ 0.16	0.59 $\pm$ 0.16	-0.50 $\pm$ 0.16	1.04 $\pm$ 0.09	1.04 $\pm$ 0.09	1.04 $\pm$ 0.09	1.05 $\pm$ 0.09
5 MHz, f/3	0.60 $\pm$ 0.16	-0.66 $\pm$ 0.16	0.15 $\pm$ 0.16	-0.65 $\pm$ 0.16	1.05 $\pm$ 0.12	1.07 $\pm$ 0.11	1.05 $\pm$ 0.12	1.07 $\pm$ 0.11
7.5 MHz, f/4	0.84 $\pm$ 0.20	-0.35 $\pm$ 0.20	0.40 $\pm$ 0.20	-0.34 $\pm$ 0.20	0.95 $\pm$ 0.08	0.97 $\pm$ 0.07	0.95 $\pm$ 0.07	0.97 $\pm$ 0.07
10 MHz, f/4	0.18 $\pm$ 0.17	-1.04 $\pm$ 0.17	-0.26 $\pm$ 0.17	-1.04 $\pm$ 0.17	0.97 $\pm$ 0.11	0.97 $\pm$ 0.11	0.97 $\pm$ 0.11	0.97 $\pm$ 0.11
10 MHz, f/2	-0.06 $\pm$ 0.27	-0.71 $\pm$ 0.27	-0.43 $\pm$ 0.27	-0.71 $\pm$ 0.27	1.10 $\pm$ 0.11	1.05 $\pm$ 0.11	1.10 $\pm$ 0.11	1.05 $\pm$ 0.11
13 MHz, f/3	1.17 $\pm$ 0.25	0.25 $\pm$ 0.25	0.79 $\pm$ 0.25	0.26 $\pm$ 0.25	0.98 $\pm$ 0.09	0.98 $\pm$ 0.09	0.97 $\pm$ 0.09	0.98 $\pm$ 0.09
15 MHz, f/2	1.37 $\pm$ 0.34	0.60 $\pm$ 0.34	0.99 $\pm$ 0.34	0.61 $\pm$ 0.34	1.05 $\pm$ 0.14	1.01 $\pm$ 0.14	1.05 $\pm$ 0.14	1.01 $\pm$ 0.14
20 MHz, f/4	1.53 $\pm$ 0.28	0.13 $\pm$ 0.28	1.02 $\pm$ 0.28	0.14 $\pm$ 0.28	1.30 $\pm$ 0.22	1.28 $\pm$ 0.20	1.30 $\pm$ 0.22	1.28 $\pm$ 0.20
20 MHz, f/3	0.62 $\pm$ 0.58	-0.41 $\pm$ 0.58	0.22 $\pm$ 0.58	-0.41 $\pm$ 0.58	1.54 $\pm$ 0.22	1.47 $\pm$ 0.21	1.53 $\pm$ 0.22	1.47 $\pm$ 0.21

values given in Ref. 12, Eq. (58). The second limit follows directly from the expression in Eq. (8). The third limit was validated through numerical simulations. Therefore, the asymptotic values of the relationships in Eq. (16) are given by

$$\begin{aligned} \eta_1(G_p \rightarrow \infty) &= \eta_2(G_p \rightarrow \infty) = \frac{\eta_3^G(G_p \rightarrow \infty)}{0.92} \\ &= \eta_3(G_p \rightarrow \infty). \end{aligned} \quad (17)$$

The expressions given in Eq. (17) indicate an excellent agreement in BSC amplitude for all studied BSC estimation methods. Methods  $\eta_1$ ,  $\eta_2$ , and  $\eta_3$  have a perfect agreement in the  $G_p \rightarrow \infty$  limit, and in the asymptotically large  $G_p$  limit these methods differ from  $\eta_3^G$  by less than 10%. This analysis is consistent with the experimental values of Err $_{\mu}$  reported in Tables II and III where differences of less than 2 dB in Err $_{\mu}$  among different estimation methods were observed. Further, the Err $_{\mu}$  absolute values were less than 1.6 and 2.8 dB for the 41  $\mu\text{m}$  and 150–180  $\mu\text{m}$  phantoms, respectively. The small error indicates a very good correspondence between the estimated and expected BSC magnitudes. The slightly larger magnitude disagreement for the 150–180  $\mu\text{m}$  phantom may be due to a less accurate assumed value for the scatterer concentration.

It should be noted that  $\eta_1$  agreed with the other BSC estimation methods and experimental measurements only after the magnitude correction described in Sec. II A 1 was applied. Therefore, the use of the expressions provided in Ref. 11 is expected to result in a BSC magnitude underestimation by slightly more than an order of magnitude.

As for the validity of the  $\eta_1$  magnitude correction presented in Sec. II A 1, it should be acknowledged that the approximations in Eq. (4) only hold for weakly scattering media and may appear inappropriate to characterize the reflection off a planar reflector with high impedance mis-

match from water, e.g., a Plexiglas reflector. However, the scattering by a planar reflector in the original work presented in Ref. 11, Sec. II C was derived under the first order Born approximation for which weakly scattering media is assumed, and therefore the correction factor is self-consistent. Further, excellent agreement was found between methods  $\eta_2$  and  $\eta_3$  although expressions for the reflection off a planar reflector were derived by Chen *et al.* in Ref. 12 by assuming the plate to be a perfect acoustic reflector and Ueda and Ozawa in Ref. 10 by invoking first order Born scattering. Therefore, it appears reasonable to assume expressions for reflection off a plate derived under limiting scattering conditions (such as weak scattering conditions) can be generalized to materials of finite reflectivity by scaling the resulting expressions by the reflectivity  $\gamma$  at the plate surface.

Finally, other estimation methods available in the literature also suffer from discrepancies in BSC magnitude. For example, D'Astous and Foster proposed in Ref. 28, Eq. (6) to estimate BSCs as

$$\eta_{\text{DAF}}(k) = \frac{\gamma^2}{\Delta z} \frac{1}{2\pi(1 - \cos \theta_t)} |\bar{S}_0(k)|^2, \quad (18)$$

where  $\theta_t$  is the half angle of the transducer subtended at its focus. If a small angle approximation is performed,  $(1 - \cos \theta_t) \approx \theta_t^2/2 \approx 0.5(R/F)^2$  and therefore

$$\eta_{\text{DAF}}(k) \approx \frac{\gamma^2 F^2}{A_0 \Delta z} |\bar{S}_0(k)|^2. \quad (19)$$

Therefore, this estimation method has the same frequency dependence of  $\eta_1$ , but its amplitude for large  $G_p$  is off by roughly a factor of 2.2 when compared to methods  $\eta_1$ ,  $\eta_2$ , and  $\eta_3$ .

TABLE III. Experimental BSC estimation errors when analyzing the 150–180  $\mu\text{m}$  phantom.

Transducer	Err $_{\mu}$				Err $_{\sigma}$			
	$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$	$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$
2.25 MHz, f/2.7	2.54 $\pm$ 0.19	0.63 $\pm$ 0.19	1.74 $\pm$ 0.19	0.63 $\pm$ 0.19	1.13 $\pm$ 0.14	1.13 $\pm$ 0.14	1.12 $\pm$ 0.14	1.13 $\pm$ 0.14
5 MHz, f/3	2.24 $\pm$ 0.28	0.96 $\pm$ 0.28	1.79 $\pm$ 0.28	0.99 $\pm$ 0.28	1.31 $\pm$ 0.17	1.26 $\pm$ 0.16	1.30 $\pm$ 0.17	1.26 $\pm$ 0.16
7.5 MHz, f/4	2.71 $\pm$ 0.31	1.53 $\pm$ 0.31	2.27 $\pm$ 0.31	1.53 $\pm$ 0.20	1.27 $\pm$ 0.16	1.20 $\pm$ 0.14	1.24 $\pm$ 0.15	1.20 $\pm$ 0.14

TABLE IV. ESD and ESC estimates derived from experimental data corresponding to the 41  $\mu\text{m}$  glass bead phantom,  $ka$  and  $G_p$  were calculated using the transducer nominal center frequency, with  $ka$  corresponding to a scatterer diameter of 41  $\mu\text{m}$ .

Transducer	$ka$	$G_p$	ESD ( $\mu\text{m}$ )				ESC (scatt./ $\text{mm}^3$ )			
			$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$	$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$
2.25 MHz, f/2.7	0.19	6.6	49.1 $\pm$ 19.1	15.6 $\pm$ 19.8	21.2 $\pm$ 22.81	15.4 $\pm$ 19.6	$(2.4 \pm 10.7) \times 10^8$	$(1.3 \pm 1.5) \times 10^9$	$(7.2 \pm 12.2) \times 10^8$	$(1.3 \pm 1.5) \times 10^9$
5 MHz, f/3	0.43	16.8	54.8 $\pm$ 3.6	50.7 $\pm$ 4.0	53.8 $\pm$ 3.7	50.8 $\pm$ 4.0	16.7 $\pm$ 5.7	19.3 $\pm$ 8.1	16.7 $\pm$ 6.1	19.3 $\pm$ 8.2
7.5 MHz, f/4	0.64	19.2	42.6 $\pm$ 1.4	40.4 $\pm$ 1.5	42.1 $\pm$ 1.5	40.4 $\pm$ 1.5	52.4 $\pm$ 7.7	51.3 $\pm$ 8.8	50.1 $\pm$ 7.6	51.3 $\pm$ 8.8
10 MHz, f/4	0.86	15.7	41.6 $\pm$ 0.8	40.8 $\pm$ 0.8	41.4 $\pm$ 0.8	40.8 $\pm$ 0.8	48.5 $\pm$ 3.6	39.0 $\pm$ 3.0	44.5 $\pm$ 3.3	39.0 $\pm$ 3.0
10 MHz, f/2	0.86	65.9	44.9 $\pm$ 1.0	44.4 $\pm$ 1.0	44.9 $\pm$ 1.1	44.4 $\pm$ 1.0	36.2 $\pm$ 3.9	32.5 $\pm$ 3.6	33.3 $\pm$ 3.6	32.6 $\pm$ 3.6
13 MHz, f/3	1.12	29.4	41.7 $\pm$ 0.9	41.4 $\pm$ 1.3	41.7 $\pm$ 1.0	41.4 $\pm$ 1.3	61.9 $\pm$ 5.7	51.2 $\pm$ 5.4	56.8 $\pm$ 5.3	51.2 $\pm$ 5.4
15 MHz, f/2	1.29	49.8	41.4 $\pm$ 0.6	41.4 $\pm$ 0.6	41.4 $\pm$ 0.6	41.4 $\pm$ 0.6	66.9 $\pm$ 6.0	56.4 $\pm$ 5.1	61.4 $\pm$ 5.5	56.4 $\pm$ 5.1
20 MHz, f/4	1.71	16.2	39.2 $\pm$ 0.9	39.6 $\pm$ 0.9	39.3 $\pm$ 0.9	39.6 $\pm$ 0.9	79.4 $\pm$ 8.1	57.5 $\pm$ 5.9	70.9 $\pm$ 7.2	57.5 $\pm$ 5.9
20 MHz, f/3	1.71	20.0	38.0 $\pm$ 1.0	38.3 $\pm$ 1.0	38.0 $\pm$ 1.0	38.3 $\pm$ 1.0	76.1 $\pm$ 9.8	58.8 $\pm$ 7.7	69.1 $\pm$ 8.8	58.9 $\pm$ 7.7

## B. Frequency-dependent effects

The diffraction correction curves presented in Fig. 3 illustrate the different frequency dependencies of all studied estimation methods, which arise from the different assumptions involved in the derivation of the corresponding BSC estimation expressions.

When deriving  $\eta_2$ , and following the seminal formulation by O'Neil,<sup>30</sup> the incident field was assumed proportional to  $\iint_S \exp(-jks)/s \, dS$ , where  $s$  is the distance between a surface element  $dS$  on the surface  $S$  of the transducer and the observation point. When deriving  $\eta_3$  the incident field was assumed to be proportional to  $\text{jinc}(kR \sin \theta) \exp(-jkr)$ , where  $\text{jinc}(x) = 2J_1(x)/x$  with  $J_1(\cdot)$  the first order Bessel function, and  $r$  is the radial coordinate of the observation point. As shown by O'Neil in Ref. 30 both expressions are in good agreement in the focal plane of the transducer. Therefore, the use of almost equivalent models for both amplitude and phase of the incident field resulted in very good agreement between  $\eta_2$  and  $\eta_3$ .

Although Insana *et al.* used the same incident field model as Ueda and Osawa, the phase front was assumed to be plane instead of spherical in the evaluation of  $|S_0(k)|^2$  (see Ref. 11, Sec. II C). The use of a different expression for the incident field phase caused frequency-dependent discrepancies between  $\eta_1$  and  $\eta_2, \eta_3$ . For large  $G_p$  values, however, these three methods become essentially equivalent, i.e., frequency-dependent effects in  $\eta_2, \eta_3$  become weak. For a focused transducer, the  $-6\text{-dB}$  angular beamwidth at the transducer focus can be approximated as  $\Delta\theta \approx \arctan(\lambda f_{\#}/F) = \arctan(\pi/4G_p)$ , where  $f_{\#}$  is the transducer focal number. Therefore, the incident field can be safely approximated as a local plane wave as  $G_p \rightarrow \infty$ , which is the cause for the asymptotic agreement among  $\eta_1, \eta_2$ , and  $\eta_3$ .

As for  $\eta_3^G$ , although it was derived assuming a spherical wave front the use of a different amplitude function (i.e., a Gaussian function instead of a jinc function) resulted in frequency-dependent discrepancies with  $\eta_2$  and  $\eta_3$ . Therefore, both the amplitude and phase modeling of the assumed incident field have an effect on the frequency-dependent behavior of BSC estimation methods.

The results presented in this work suggest  $\eta_1$  is significantly different than  $\eta_2, \eta_3$ , and  $\eta_3^G$  at very low  $G_p$  values, i.e.,  $G_p < 1$ . This is due to the fact that the local plane wave approximation used in  $\eta_1$  breaks down in the low  $G_p$  limit. In practice, however, these effects may not be observed. It has already been discussed in the literature that energy focusing cannot occur in the far field of the equivalent flat transducer, i.e., for  $R^2/\lambda F < 1$  or equivalently  $G_p/\pi < 1$ .<sup>31</sup> Therefore, transducers with  $G_p < \pi$  (the region where most of the significant differences in the curves presented in Fig. 3 were observed) will most likely not be used in experimental practice.

The experimental results suggest all methods are capable of producing similar frequency dependencies of BSC estimates, as suggested by the  $\text{Err}_{\sigma}$  values in Tables II and III. The experimental  $\text{Err}_{\sigma}$  corresponding to different estimation methods had mean absolute and relative values that differed among each other in all cases by less than 0.1 dB and 6%, respectively.

## C. Implications for QUS estimation

Both amplitude and frequency-dependent effects introduced by BSC estimation methods will affect QUS estimates. Amplitude differences will only change estimates of ESC. It was observed in this study that  $\eta_1, \eta_2, \eta_3$ , and  $\eta_3^G$  were successful in approximately reproducing the expected BSC magnitude from two well-characterized experimental

TABLE V. ESD and ESC estimates derived from experimental data corresponding to the 150–180  $\mu\text{m}$  glass bead phantom.  $ka$  and  $G_p$  were calculated using the transducer nominal center frequency, with  $ka$  corresponding to a scatterer diameter of 165  $\mu\text{m}$ .

Transducer	$ka$	$G_p$	ESD ( $\mu\text{m}$ )				ESC (scatt./ $\text{mm}^3$ )			
			$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$	$\eta_1$	$\eta_2$	$\eta_3^G$	$\eta_3$
2.25 MHz, f/2.7	0.78	6.6	169.4 $\pm$ 4.4	161.2 $\pm$ 4.8	163.3 $\pm$ 4.7	161.1 $\pm$ 4.8	5.9 $\pm$ 0.6	4.6 $\pm$ 0.6	5.7 $\pm$ 0.7	4.6 $\pm$ 0.6
5 MHz, f/3	1.73	16.8	163.5 $\pm$ 5.9	165.3 $\pm$ 5.5	164.0 $\pm$ 5.9	165.3 $\pm$ 5.5	5.4 $\pm$ 0.8	4.0 $\pm$ 0.6	4.8 $\pm$ 0.7	4.0 $\pm$ 0.6
7.5 MHz, f/4	2.59	19.2	131.8 $\pm$ 49.9	135.8 $\pm$ 48.9	131.1 $\pm$ 50.7	135.8 $\pm$ 48.9	88.7 $\pm$ 121.3	63.8 $\pm$ 94.7	84.8 $\pm$ 114.2	63.9 $\pm$ 94.9

phantoms. Other methods reported in the literature such as  $\hat{\eta}_1$  in Eq. (3) and  $\eta_{\text{DAF}}$  in Eq. (19) had amplitude disagreements that would result in BSC amplitude under-determination factors of 12 and 3.4 dB, respectively. Therefore, different estimation methods reported in the literature can potentially introduce significant BSC amplitude variations.

Frequency-dependent effects are expected to affect primarily ESD estimates, although it is worth remarking that errors in ESD will ultimately propagate to ESC estimates through the use of Eq. (13). Two adimensional, frequency-dependent parameters are expected to affect the mean ESD estimate values.

- (1)  $G_p$ : Because different BSC estimation methods introduce different frequency-dependent corrections which depend on  $G_p$ , mean ESD estimates are expected in principle to change depending on the chosen BSC estimation method. However, the  $G_p$  range of the transducers should determine the extent of the spread of mean ESD estimates. This is expected because the results in Sec. III A suggest all estimation methods become nearly equivalent when  $G_p \rightarrow \infty$  but are significantly different when  $G_p < \pi$ .
- (2)  $ka$ : The accuracy and precision of ESD estimates can be compromised depending on the  $ka$  range used for BSC analysis. In particular, for low  $ka$  values the dependence of BSC on the actual scatterer size becomes weak which degrades the precision of ESD estimates.<sup>32</sup> On the other hand, for large  $ka$  values the scattering model becomes too complex which may cause convergence of the ESD estimator to inaccurate solutions due to convergence to local minima.<sup>6</sup> It has been proposed that  $ka$  values satisfying  $0.5 < ka < 1.2$  provide good ESD estimates when analyzing glass bead phantoms.<sup>11</sup>

Therefore, all estimation methods are only expected to provide similar QUS estimates under certain circumstances. Simulations were conducted to explore the dependence of ESD estimates on  $ka$  and  $G_p$  values. The theoretical BSC,  $\eta_{\text{th}}$ , corresponding to a single glass sphere was calculated for  $0.1 < ka < 2$ . Two modified BSCs were set proportional to  $\eta_{\text{th}} \times D_2(G_p)$  and  $\eta_{\text{th}}/D_2(G_p)$ . These two curves represent the hypothesis that  $\eta_1$  is the correct estimation method but either  $\eta_2$  or  $\eta_3$  [because  $D_2(G_p)$  is almost equivalent to  $D_3(kR, kF)$ ] are used to estimate BSCs and vice versa. Portions of these modified BSCs with different center frequencies and 100% fractional bandwidths were used as input for the estimator in Sec. II B 2. The fractional error  $(\text{ESD}/2a - 1)$  when obtaining ESD estimates using the modified BSCs are shown in Fig. 5 for the cases where  $G_p/ka = 50$ ,  $G_p/ka = 500$ , and  $G_p/ka = 5000$ . It can be observed that the ESD estimation error spread was indeed dependent on both  $ka$  and  $G_p$ . The variation induced by the  $ka$  range was the most significant, but the results predict the ESD estimation error spread should be significant only when approaching Rayleigh scattering, i.e.,  $ka < 0.5$ . Although the results also confirm the spread is reduced for increasing  $G_p$ , reducing the ESD estimation error spread below  $\pm 16\%$  for  $ka > 0.25$  required significantly large  $G_p$  values (i.e.,  $G_p/ka$  ratios of around 500).

The experimental results validated the dependence of the spread of ESD mean estimates on  $ka$  range. The results from Table IV obtained from the 41  $\mu\text{m}$  phantom suggest

that the differences in ESD mean values introduced by the choice of estimation method became significant when low  $ka$  values (i.e.,  $ka < 0.5$ ) were used. For example, the absolute spread was 33.7  $\mu\text{m}$  (15.4  $\mu\text{m}$  for  $\eta_3$  vs 49.1  $\mu\text{m}$  for  $\eta_1$ ) when using the 2.25 MHz, f/2.7 transducer. This corresponds to a relative spread of 82% when compared to the assumed mean particle diameter of 41  $\mu\text{m}$ . Similarly, for the 5 MHz, f/3 transducer the absolute and relative maximum spreads were 4.1  $\mu\text{m}$  and 10%, respectively. In contrast, for the 20 MHz, f/4 transducer the absolute and relative spreads of ESD mean values were 0.3  $\mu\text{m}$  and 0.7%, respectively, even though the spread of  $\text{Err}_\sigma$  for this transducer was larger than those of the 2.25 MHz, f/2.7 and 5 MHz, f/3 transducers. However, in all cases the spread of ESD mean values was comparable to the standard deviation of the ESD estimates. The results presented in Table V obtained from the 150–180  $\mu\text{m}$  phantom further supports the dependence of the spread of mean ESD values on  $ka$  range. With the same 2.25 MHz, f/2.7 transducer used when analyzing the 41  $\mu\text{m}$  phantom the absolute and relative (considering a mean diameter size of 165  $\mu\text{m}$ ) spreads of mean ESD estimates were 8.3  $\mu\text{m}$  and 5%, respectively. It can also be observed that the spread of mean ESD values increased for the 7.5 MHz transducer. For this transducer, the estimates followed a binomial distribution due to high  $ka$  convergence problems discussed above.

The dependence of the QUS estimates on  $G_p$  was also supported by the experimental results. As discussed in Sec. III A, all estimation methods agree within less than 1 dB for  $G_p > 25$ . Two transducer pairs covered very similar frequency ranges with different  $G_p$  ranges and at least one of them satisfying  $G_p > 25$  for most of the analysis bandwidth. The first pair was the 10 MHz, f/4 ( $G_p \in [8.9, 25.3]$ ) and 10 MHz, f/2 ( $G_p \in [28.2, 95.0]$ ) transducers for which the spreads of mean ESD values were 0.8 and 0.5  $\mu\text{m}$ , respectively, when analyzing the 41  $\mu\text{m}$  phantom. The second pair was the 13 MHz, f/3 ( $G_p \in [15.8, 43.2]$ ) and 15 MHz, f/2 ( $G_p \in [23.4, 66.9]$ ) transducers for which the spreads of mean ESD values were 0.3 and 0  $\mu\text{m}$ , respectively, when analyzing the 41  $\mu\text{m}$  phantom. Therefore, the limited experimental data in this study supports the fact that the use of sufficiently large  $G_p$  values helps reduce the dependence of mean ESD estimates on the method used for normalizing BSC estimates. However, it should be noted that the spread of mean ESD estimates for the discussed cases corresponded at most to 2% of the actual mean scatterer size present in the phantom. Therefore, and as the results from Fig. 5 suggest, the spread of mean ESD estimates was not significant when  $ka > 0.5$  even for moderate (i.e.,  $G_p < 100$ )  $G_p$  values.

Although all estimation methods provided similar experimental BSCs in this work with good fits to the theoretical BSCs as evidenced by the results in Tables II and III, the subtle frequency-dependent effects of each method had in some cases noticeable effects on the bias of QUS estimates. These differences in mean QUS estimates can be reduced by proper choice of  $ka$  and  $G_p$  ranges. Given that  $G_p$  only depends on transducer properties whereas  $ka$  depends on properties of the imaging target, in practice it should be easier to control the  $G_p$  rather than the  $ka$  range. If  $G_p$  is made sufficiently large, the spread of mean estimation values

introduced by the different estimation methods would be reduced and relatively good BSC estimation consistency would also imply relatively good QUS estimation consistency. However, in this work it was found that for the  $G_p$  values encountered in practice the frequency-dependent effects of the studied BSC estimation methods did not have a significant effect on the spread of mean QUS estimates, and any of them can be safely used for BSC estimation.

Finally, significant deviations in mean ESD values from the actual mean glass bead diameter introduced by estimation methods were noticeable for low  $ka$  values. This observation is important because it has been commonly understood that the precision and not the accuracy of ESD estimates should suffer at low  $ka$ .<sup>32</sup> Furthermore, it has been demonstrated that methods such as spatial compounding can be used to effectively reduce the standard deviation without affecting the mean of ESD estimates.<sup>33</sup> However, the ill-conditioning of ESD estimation in the low  $ka$  regime may cause the ESD estimates to be too sensitive to even slight experimental errors due to compensating for the transmission through the plastic film, nonlinear behavior of the amplifiers used to measure the data, attenuation compensation, measurement noise, and improper modeling of the transducer radiation pattern. Therefore, it was not possible to determine which BSC estimation method may be preferred when analyzing near Rayleigh ( $ka < 0.5$ ) data. This may be yet another reason to advocate for large  $G_p$  values in order to reduce frequency-dependent effects on BSC estimates.

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